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Sender Policy Framework (SPF)

A Convention to Describe Hosts Authorized to Send SMTP Traffic

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Abstract

Email address forgery is a problem on the Internet today. Domain owners want to control the use of their names in email, but are helpless because they lack the means. This document introduces a language for domains to make email-related declarations in DNS. It defines in detail one possible sender authentication scheme for domains to describe the hosts from which they send mail. SMTP receivers can use this scheme to detect sender forgery.

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1. Introduction

The intended audience for this document includes administrators of the Domain Name System and developers of Mail Transfer Agents (MTAs), Mail Delivery Agents (MDAs), and Mail User Agents (MUAs). They are assumed to be familiar with the workings of SMTP and DNS. See RFC2821 and RFC1034.

Forgery of domain names is a problem. Malicious entities often falsify envelope and header addresses to make it harder to identify the source of a message. When those addresses correspond to real people and organizations, the victims of forgery suffer a cost in bounce messages, tarnished reputations, and misdirected abuse reports.

SPF is designed to fight email address forgery. It does this by establishing a policy framework and an authentication scheme.

SPF defines a simple language. Domains can use that language to describe the mail they send. SMTP receivers can use these descriptions to evaluate messages.

SPF can implement a sender authentication scheme. In a sender authentication scheme, a domain owner asserts that legitimate messages from that domain must meet a certain description. Messages which do not meet that description are not legitimate. These assertions are made in machine-readable form.

SPF defines a specific sender authentication scheme based on the designated sender model. A domain identifies certain hosts as designated senders. Mail from those hosts is considered legitimate. Mail from other hosts is not.

SPF publishes policy data in the DNS. DNS resolvers can cache SPF data. Caching reduces lookup traffic. Sender domains do not have to run new servers to advertise SPF information.

SPF is extensible. Multiple mechanisms can be defined. While other sender authentication schemes can be expressed in SPF, the rest of this document defines a designated sender scheme in detail.

1.1 Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC2119.

An SMTP client sends mail to an SMTP server. The SMTP server and downstream systems comprise the SMTP receiver. The SMTP receiver acts as an SPF client to an SPF publisher domain.

SPF processing may occur as early as the MAIL FROM stage of an SMTP transaction or as late as the display stage in a Mail-User Agent. For convenience, SMTP servers which accept, classify, discard, or reject mail on the basis of SPF tests may be said to be speaking "SMTP+SPF".

1.2 Designated Senders

Designated sender schemes are weaker than cryptographic schemes but provide more assurance than the current SMTP model.

SPF defines a set of mechanisms which add up to a designated sender scheme. First, domain owners designate legitimate outbound mail servers in a compact, symbolic notation. SMTP receivers may query sender domains using these mechanisms and decide the validity of a given SMTP transaction while that transaction is ongoing, even before any message data is passed. Alternatively, SPF tests can be performed after SMTP time by an MDA or MUA. MTAs, MDAs, and MUAs may choose to accept, classify, discard, or reject messages based on the result of an SPF test.

SPF can be used to verify the sender of a message based on envelope information available at SMTP time or according to the headers after an SMTP transaction has completed.

2. SPF Records

Domains declare verifiable attributes that describe the mail they send.

A domain's declarations are presented in an SPF record. The record is a single string of text:

SPF-record = version *(1*SP (directive | modifier))

An example SPF Record is:

v=spf1 +mx +a:colo.example.com/28 -all

This record has a version of "v=spf1" and three directives: "+mx", "+a:colo.example.com/28", and "-all".

2.1 Publishing

The SPF record is published in the DNS. The record is of type TXT. The record is placed in the DNS tree at the level of the domain.

The TXT type was chosen for pragmatic reasons.

SPF clients ignore records which do not carry a recognized version string. This document specifies the version string "v=spf1".

A domain MUST NOT return multiple records that begin with the word "v=spf1". If more than one "v=spf1" record is returned, this constitutes a syntax error and the result is "unknown".

An example SPF record is:

v=spf1 +mx +a:colo.example.com/28 -all

This might be published easily via this line in a domain file:

example.com. IN TXT "v=spf1 +mx +a:colo.example.com/28 -all"

In unusual situations, directives may require additional DNS records. It is RECOMMENDED that these additional records be published under the "spf" subdomain. See Appendix B for examples.

2.2 Interpretation

SPF clients are applications that parse and interpret the SPF record for a domain. Clients MUST correctly interpret the SPF record according to the algorithm defined here.

2.2.1 Terms

This section defines important terms. They can be thought of as variables in an SPF client. It is crucial that they be interpreted correctly.

It is RECOMMENDED that the <responsible-sender> be drawn from the envelope using this algorithm:

The <responsible-sender> comes from the domain name of the "MAIL FROM" envelope sender. When the envelope sender has no domain, a client MUST use the HELO domain instead. If the HELO argument does not provide an FQDN, SPF processing terminates with "unknown".

If SPF processing occurs after SMTP time, the envelope sender may be obtained from the Return-Path header. If the Return-Path header has no domain, a client MAY try to extract the HELO domain from the Received headers. If the headers do not yield useful envelope information, SPF processing terminates with "unknown".

However, the <responsible-sender> address MAY be drawn from an alternative source. For example, an MUA may find it more convenient to extract the <responsible-sender> from the Return-Path header or from the Sender: header.

The <current-domain> is initially drawn from the <responsible-sender>. If the <responsible-sender> has no localpart, clients MUST substitute the string "postmaster" for the localpart.

Recursive mechanisms such as Include and Redirect replace the initial <current-domain> with another domain. However, they do not change the value of the <responsible-sender>. See sections 4.2, 3.3, and 8.4.

2.2.2 Lookup

SPF clients perform a TXT type query in search of an SPF record.

Any number of records may be returned. Only the record which begins with "v=spf1", followed by whitespace, is relevant to this document. CNAME responses are followed as usual.

If no matching records are returned, an SPF client MUST assume that the domain makes no SPF declarations. SPF processing MUST abort and return "none".

If the domain does not exist (NXDOMAIN) an SPF client MAY return either "fail" or "none".

Clients are NOT REQUIRED to fall back to parent domains. If a domain has no SPF record, clients MUST NOT, on their own initiative, substitute SPF data from a parent domain. For example, if no SPF record is found for "workstation.example.com", clients MUST NOT proceed automatically to query "example.com".

3. SPF Record Evaluation

An SPF client evaluates an SPF record and produces one of seven results:

None: The domain does not publish SPF data.

Neutral (?): The SPF client MUST proceed as if a domain did not publish SPF data. This result occurs if the domain explicitly specifies a "?" value, or if processing "falls off the end" of the SPF record.

Pass (+): the message meets the publishing domain's definition of legitimacy. MTAs proceed to apply local policy and MAY accept or reject the message accordingly.

Fail (-): the message does not meet a domain's definition of legitimacy. MTAs MAY reject the message using a permanent failure reply code. (Code 550 is RECOMMENDED. See RFC2821 section 7.1.)

Softfail (~): the message does not meet a domain's strict definition of legitimacy, but the domain cannot confidently state that the message is a forgery. MTAs SHOULD accept the message but MAY subject it to a higher transaction cost, deeper scrutiny, or an unfavourable score.

There are two error conditions, one temporary and one permanent.

Error: indicates an error during lookup; an MTA MAY reject the message using a transient failure code, such as 450.

Unknown: indicates incomplete processing: an MTA MUST proceed as if a domain did not publish SPF data.

When SPF-aware SMTP receivers accept a message, they SHOULD prepend a Received-SPF header. See section 6.

SPF clients MUST use the algorithm described in this section or its functional equivalent.

If an SPF client encounters a syntax error in an SPF record, it must terminate processing and return a result of "unknown".

3.1 Matching Version

An SPF record begins with a version section:

```
version = 'v=spf' version-number
version-number = non-zero-digit [ '.' ] *DIGIT
```

SPF clients MUST use only the records of the highest understood version published by a domain and ignore all lower versions, unless that version explicitly recognizes lower versioned responses.

For example, if an SPF client understands versions 1, 2 and 3, and the DNS query results in records of version 1, 2 and 4, then only the record with version 2 is used.

This specification describes version 1. If multiple "v=spf1" records are returned, the SPF client MUST reject them all and act as if no version 1 records were returned.

Minor version numbers are permitted, eg. "1.1".

SPF-like records of the form "v=spf1+ext" are not described by this document.

3.2 SPF Directive Evaluation

There are two types of directives: mechanisms and modifiers. A given mechanism type may always appear multiple times in a record. Modifiers may be constrained to appear at most once per record, depending on the definition of the modifier. Unknown mechanisms cause processing to abort with the result "unknown". Unknown modifiers are ignored by clients.

An SPF record contains an ordered list of mechanisms and modifiers:

```
SPF-record = version *( 1*SP ( [prefix] mechanism | modifier ) )
            = "+" / "-" / "~" / "?"
 mechanism = 1*ALPHA [ ':' *VCHAR ] *[ '/' *DIGIT ]
            = 1*ALPHA '=' *VCHAR
 modifier
```

Modifiers always contain an equals ('=') character.

Mechanisms usually contain ':' or '/' characters.

Directives that do not contain any of '=', ':', or '/' are mechanisms.

Each directive is considered in turn from left to right.

When a mechanism is evaluated, one of three things can happen: it can match, it can not match, or it can throw an exception.

If it matches, processing ends and the prefix value is returned as the result of that record. (The default prefix value is "+".)

If it does not match, processing continues with the next directive. If no directives remain, the default value is "-".

If it throws an exception, processing ends and the exception value is returned (either "error" indicating a temporary failure, usually DNS-related, or "unknown" indicating a syntax error or other permanent failure resulting in incomplete processing.)

Mechanisms are described in Section 4 and 5.

A missing prefix in a directive is the same as a prefix of "+".

The possible prefixes are:

- + pass
- fail
- softfail
- neutral

3.3 Default result

If none of the mechanisms match and there is no redirect modifier, then the result of the SPF query is "neutral". If there is a redirect modifier, the SPF client proceeds as defined in section 5.1.

Note that SPF records SHOULD always either use a redirect modifier or

an "all" mechanism to explicitly terminate processing.

For example:

```
v=spf1 +mx -all
v=spf1 +mx redirect= spf.example.com
```

4. Mechanism Definitions

This section defines two types of mechanisms.

Basic mechanisms contribute to the SPF language framework. They do not specify a particular type of authentication scheme.

- all
- include

Designated sender mechanisms are used to discover the relationship of the client IP address to the <responsible-sender>.

- a - ip4 - mx - ip6 - ptr - exists

Mechanisms either match, do not match, or throw an exception.

- If they match, their prefix value is returned.
- If they do not match, processing continues.
- If they throw an exception, the exception value is returned.

Several of these mechanisms take an optional <domain-spec> argument. If the <domain-spec> is present, then it is macro expanded (see Section 7) and becomes the <target-name>. If the <domain-spec> is not provided, the <current-domain> is used as the <target-name>.

CNAME responses are followed in the usual way.

4.1 'all'

```
all = 'all'
```

The 'all' mechanism is a test that always matches. It is used as the rightmost mechanism in an SPF record to indicate the default result.

```
For example: v=spf1 +mx +a -all
```

Mechanisms after "all" will never be tested.

4.2 'include'

```
include = 'include' ':' domain-spec
```

The 'include' mechanism triggers a recursive SPF query. The domain-spec is expanded as per section 7. Then a new query is launched using the resulting string as the <current-domain>. The <responsible-sender> stays the same.

"Include" makes it possible for one domain to designate multiple administratively independent domains.

For example, a vanity domain "example.net" might send mail using the servers of administratively independent domains example.com and example.org.

Example.net could say

"v=spf1 include:example.com include:example.org -all".

That would direct an SPF client to, in effect, search the SPF records for example.com and example.org for a "pass" result. Only if the message were not permitted for either of those domains would the result be "fail".

This mechanism matches when the new query result returns a pass, and doesn't match when the result is fail. However, if the new query returns none, unknown, or error, then processing of the entire SPF query stops immediately and returns the unknown or error result.

```
return the prefix value for "include"
pass
        => match,
        => no match, continue processing
softfail => no match, continue processing
neutral => no match, continue processing
error => error, abort
unknown => unknown, abort
       => unknown, abort # see below
```

If the parent domain includes another domain, and that domain one day loses its SPF record, it is better for the query to abort with "unknown" than to continue on to a potential "-all".

The Include mechanism is intended for crossing administrative boundaries. While it is possible to use Includes to consolidate multiple domains that share the same set of designated hosts, domains are encouraged to use Redirects where possible, and to minimize the number of <Includes> within a single administrative domain.

For example, if example.com and example.org were managed by the same entity, and if the canonical set of designated mailers for both domains were "mx:example.com", it would be possible for example.org to specify "include:example.com", but it would be preferable to specify "redirect=example.com" or even "mx:example.com".

4.3 Introducing Designated Sender Mechanisms

Designated sender schemes allow SMTP receivers to make policy decisions on the basis of domain name rather than IP address.

These mechanisms allow a domain to declare that certain hosts send mail from that domain. When an SPF client processes these mechanisms, it tests to see if the <sending-host> matches.

Usually, the <sending-host> is the IP address of an SMTP client. SMTP receiver is the SPF client. The SPF lookup may also operate after the SMTP transaction has terminated. In these cases the <sending-host> may have to be extracted from the Received header or some other meta-data about the message. Received headers can be forged. Accurate analysis is possible. Still, be careful.

If mail is transferred between mail systems internal to an organization, and that organization chooses to process SPF after such transfers, then the <sending-host> should be the external host that first transferred the mail into the organization's mail system.

When the <sending-host> is localhost, Designated Sender mechanisms are not meaningful. Therefore, an SPF client immediately returns "pass" without evaluating mechanisms.

The <sending-host> is required for these mechanisms. If it cannot be determined, then these mechanisms cannot be tested, and "unknown" is returned.

The following conventions apply to designated sender mechanisms:

If the optional <CIDR-length> is given, then only the upper <CIDR-length> bits of each IP are compared to the <sending-host>.

If the SMTP connection is IPv6, read "AAAA lookup" for "A lookup", except where "A" lookups are explicitly specified.

4.4 'a'

This mechanism matches if the <sending-host> is one of the <target-name>'s IP addresses.

```
A = 'a' [ ':' domain-spec ] [ dual-cidr-length ]
```

The <sending-host> is compared to the IP address(es) of the <target-name>. If any address matches, the mechanism matches.

4.5 'mx'

This mechanism matches if the <sending-host> is one of the MX hosts for a domain name.

```
MX = 'mx' [ ':' domain-spec ] [ dual-cidr-length ]
```

SPF clients first perform an MX lookup on the <target-name>. SPF clients then perform an A lookup on each MX name returned, in order of MX priority. The <sending-host> is compared to each returned IP address. If any address matches, the mechanism matches.

Note Regarding Implicit MXes: If the <target-name> has no MX records, SPF clients MUST NOT pretend the target is its single MX, and MUST NOT default to an A lookup on the <target-name> directly. This behaviour breaks with the legacy "implicit MX" rule. See RFC2821 Section 5. If such behaviour is desired, the publisher should specify an "a" directive.

4.6 'ptr'

This mechanism tests if the <sending-host>'s name is within a particular domain.

```
PTR = 'ptr' [ ':' domain-spec ]
```

First the <sending-host>'s name is looked up using this procedure: perform a PTR lookup against the <sending-host>'s IP. For each record returned, validate the host name by looking up its IP address. If the <sending-host>'s IP is among the returned IP addresses, then that host name is validated. In pseudocode:

```
sending-host_names := ptr_lookup(sending-host_IP);
for each name in (sending-host_names) {
   IP_addresses := a_lookup(name);
   if the sending-host_IP is one of the IP_addresses {
     validated_sending-host_names += name;
} }
```

Check all validated hostnames to see if they end in the <target-name>domain. If any do, this mechanism matches. If no validated hostname can be found, or if none of the validated hostnames end in the <target-name>, this mechanism fails to match.

Pseudocode:

```
for each name in (validated_sending-host_names) {
  if name ends in <domain-spec>, return match.
  if name is <domain-spec>, return match.
}
return no-match.
```

This mechanism matches if the <target-name> is an ancestor of the <sending-host>, or if the <target-name> and the <sending-host> are the same. For example: "mail.example.com" is within the domain "example.com", but "mail.bad-example.com" is not. If a validated hostname is the <target-name>, a match results.

4.7 'ip4' and 'ip6'

These mechanisms test if the <sending-host> falls into a given IP network.

The <sending-host> is compared to the given network. If they match, the mechanism matches.

If the cidr-length is omitted, the ip4-cidr-length is taken to be "/32" and the ip6-cidr-length is taken to be "/128".

4.8 'exists'

This mechanism is used to construct an arbitrary host name that is used for a DNS A record query. It allows for complicated schemes involving arbitrary parts of the mail envelope to determine what is legal.

exists = 'exists' ':' domain-spec

The domain-spec is expanded as per Section 7. The resulting domain name is used for a DNS A lookup. If any A record is returned, this mechanism matches. The lookup type is 'A' even when the connection type is IPv6.

SPF publishers can use this mechanism to specify arbitrarily complex queries. For example, suppose example.com publishes the SPF record:

$$v=spf1 exists:%{ir}.%{l1r+-}. spf.%{d} -all$$

The target-name might expand to "1.2.0.192.someuser._spf.example.com". This makes fine-grained decisions possible at the level of the user and client IP address.

5. Modifier Definitions

Only two standard modifiers are defined: Redirect and Exp. SPF clients MUST support them both.

Modifiers are not mechanisms: they do not return match or no-match.

Instead they provide additional information or change the course of SPF processing.

While unrecognized mechanisms cause an immediate "unknown" abort, unrecognized modifiers are simply ignored.

Modifiers therefore provide an easy way to extend the SPF protocol.

5.1 redirect: Redirected Query

If all mechanisms fail to match, and a redirect modifier is present, then processing proceeds as follows.

```
redirect = 'redirect' '=' domain-spec
```

The domain-spec portion of the redirect section is expanded as per the macro rules in section 7. The resulting string is a new domain that is now queried: The <current-domain> is set to this new domain, and the new domain's SPF record is fetched and processed. Note that <responsible-sender> does not change.

The result of this new query is then considered the result of original query.

Note that the newly queried domain may itself specify redirect processing.

This facility is intended for use by organizations that wish to apply the same SPF record to multiple domains. For example:

```
la.example.com. TXT "v=spf1 redirect=_spf.example.com"
 ny.example.com. TXT "v=spf1 redirect= spf.example.com"
 sf.example.com. TXT "v=spf1 redirect= spf.example.com"
spf.example.com. TXT "v=spf1 mx:example.com -all"
```

In this example, mail from any of the three domains is described by the same SPF record. This can be an administrative advantage.

Note: in general, a domain A cannot reliably use a redirect to another domain B not under the same administrative control. Since the <responsible-sender> stays the same, there is no guarantee that the SPF directives at domain B will correctly work for addresses in domain A, especially if domain B uses mechanisms involving localparts. An "Include" directive may be more appropriate.

Only one redirect modifier may appear per SPF record. The modifier does not have to appear at the end; it MAY appear anywhere in the record. However, for clarity it is RECOMMENDED that redirect modifiers appear after mechanisms.

5.2 exp: Explanation

The argument to the explanation modifier is a domain-spec to be TXT queried. The result of the TXT query is a macro-string that is macro-expanded. If SPF processing results in a rejection, the expanded result SHOULD be shown to the sender in the SMTP reject message. This string allows the publishing domain to communicate further information via the SMTP receiver to legitimate senders in the form of a short message or URL.

Only one exp modifier may appear per SPF record.

```
explanation = 'exp' '=' domain-spec
```

When an SPF client performs a query, and the result is anything other than pass, then the explanation string, if present, SHOULD be presented to the SMTP client after macro expansion. See section 7.

Suppose example.com has this SPF record

```
v=spf1 mx -all exp=explain. spf.%{d}
```

Here are some examples of the explanation TXT record at explain. spf.example.com:

Example.com mail should only be sent by its own servers.

-- a simple, constant message

 $\{i\}$ is not one of $\{d\}$'s designated mail servers.

- -- a message with a little more info, including the
- -- SMTP sender's IP address

See http:// $\{d\}$ /why.html?s= $\{S\}$ &i= $\{I\}$ &h= $\{H\}$

- -- a complicated example that constructs a URL with
- -- most of the parameters of the failed message so that
- -- a web page can be generated with instructions

If multiple explanation TXT records are returned, they are concatenated in the order they were received. Use of multiple TXT records is discouraged as DNS does not guarantee order.

Note: during recursion into an Include mechanism, explanations do not propagate out. But during execution of a Redirect modifier, the explanation string from the target of the redirect is used.

6. Miscellaneous

6.1 Unrecognized Mechanisms and Modifiers

Future extensions to this standard may introduce new mechanisms and modifiers.

Unrecognized mechanisms cause processing to abort: if, during evaluation of an SPF record, an SPF client encounters a mechanism which it does not understand or which it cannot properly evaluate (due perhaps to insufficient information about the message at evaluation time), then it terminates processing and returns "unknown", without evaluating any further mechanisms. Mechanisms listed before the unknown mechanism MUST, however, be evaluated.

For example, given the record

v=spf1 a mx ptr domainkeys: dk.%{d} -all

messages that match the "a", "mx", or "ptr" mechanisms would return a "pass" result. An SPF client that did not recognize the mechanism "domainkeys" would return "unknown". An SPF client that was domainkeys-aware would be able to perform extended evaluation. If the message matched the domainkeys test, it would pass; if it did not, evaluation would proceed to "-all" and return "fail".

"domainkeys" is an example of an unknown extension mechanism that could be defined in future versions of this standard. It is NOT defined by this proposal.

Unrecognized modifiers are ignored: if an SPF client encounters modifiers which it does not recognize, it MUST ignore them and continue processing. Modifiers always contain an "=" sign.

Unrecognized mechanisms are preserved in the Received-SPF header. See section 6.3.

6.2 Processing Limits

During processing, an SPF client may perform additional SPF subqueries due to the Include mechanism and the Redirect modifier.

SPF clients must be prepared to handle records that are set up incorrectly or maliciously. SPF clients MUST perform loop detection, limit SPF recursion, or both. If an SPF client chooses to limit recursion depth, then at least a total of 20 redirects and includes SHOULD be supported. (This number should be enough for even the most complicated configurations.)

If a loop is detected, or if more than 20 subqueries are triggered, an SPF client MAY abort the lookup and return the result "unknown".

Regular non-recursive lookups due to mechanisms like "a" and "mx" or due to modifiers like "exp" do not count toward this total.

6.3 The Received-SPF header

It is RECOMMENDED that SMTP receivers record the result of SPF processing in the message headers. If an SMTP receiver chooses to do so, it MUST use the "Received-SPF" header defined here. This information is intended for the recipient. (Information intended for the sender of the e-mail is described in Section 5.2, Explanation.)

The header SHOULD be prepended to existing headers. It MUST appear above any other Received-SPF headers in the message. The header has the format:

The comment-string should convey supporting information for the result (such as <responsible-sender> and <current-domain>).

If processing was aborted due to unrecognized mechanisms, the Received-SPF header SHOULD show the unrecognized mechanisms after the "unknown" word.

Example headers generated by mybox.example.org:

Received-SPF: fail (mybox.example.org: domain of

myname@example.com does not designate

192.0.2.1 as permitted sender)
receiver=mybox.example.org;

client-ip=192.0.2.1;

envelope-from=<myname@example.com>;

helo=foo.example.com;

Received-SPF: softfail (mybox.example.org: domain of

transitioning myname@example.com does not
designate 192.0.2.1 as permitted sender)

Received-SPF: neutral (mybox.example.org: 192.0.2.1 is neither

permitted nor denied by domain of

myname@example.com)

Received-SPF: none (mybox.example.org: myname@example.com does

not designated permitted sender hosts)

Received-SPF: unknown -extension:foo (mybox.example.org: domain

of myname@example.com uses mechanism not recognized by

this client)

Received-SPF: error (mybox.example.org: error in processing

during lookup of myname@example.com: DNS

timeout)

SPF clients may append zero or more of the following key-value-pairs at their discretion:

receiver the hostname of the SPF client client-ip the IP address of the SMTP client

envelope-from the envelope sender address

helo the hostname given in the HELO or EHLO command mechanism that matched (if no mechanisms

matched, substitute the word "default".)

problem if an error was returned, details about the error

Other key-value pairs may be defined by SPF clients. Until a new key name becomes widely accepted, new key names should start with "x-".

7. Macros

7.1 Macro definitions

Certain directives perform macro interpolation on their arguments.

```
macro-string = *( macro-char / VCHAR )
macro-char = ( '%{' ALPHA *DIGIT [ 'r' ] *delim '}' )
               / 1%%1
               / 1% 1
               / 1%-1
```

A literal '%' is expressed by '%%'.

- %_ expands to a single " " space.
- %- expands to a URL-encoded space, viz. "%20".

The following macro letters are expanded:

- l = local-part of responsible-sender
- s = responsible-sender
- o = responsible-domain
- d = current-domain
- i = SMTP client IP
- t = current timestamp in UTC epoch seconds notation
- p = SMTP client domain name
- v = client IP version string: "in-addr" for ipv4 or "ip6" for ipv6
- h = HELO/EHLO domain

The uppercase versions of those macros are URL-encoded as well.

A '%' character not followed by a '{', '%', '-', or ' ' character MUST be interpreted as a literal. SPF publishers SHOULD NOT rely on this feature; they MUST escape % literals. For example, an explanation TXT record

Your spam volume has increased by 581%

is incorrect. Instead, say

Your spam volume has increased by 581%%

Legal modifiers are

```
*DIGIT ; one or more digits
```

'r' ; reverse value, splitting on dots by default

The DIGIT modifier indicates the number of right-hand parts to use after optional reversal. The modifier MUST be nonzero. If DIGIT specifies more parts than are available, all the available parts are used. If the DIGIT was 5, and only 3 parts were available, the macro interpreter would pretend the DIGIT was 3.

The 'r' modifier indicates a reversal operation: if the client IP address were 192.0.2.1, the macro %{i} would expand to "192.0.2.1" and the macro %{ir} would expand to "1.2.0.192".

The DIGIT and the 'r' modifiers split a string into parts. By default, strings are split on "." dots. Modifiers may be followed by one or more splitting characters which are used instead of the ".". Splitting characters MUST be non-alphanumeric. Parts are always rejoined using "." and not the original splitting characters.

For the "1" and "s" macros: when the local-part has no length, the string "postmaster" is substituted.

The "p" macro expands to the validated domain name of the SMTP client. The validation procedure is described in section 5.4. If there are no validated domain names, the word "unknown" is substituted. If multiple validated domain names exist, the first one returned in the PTR result is chosen.

The "s" macro expands to the sender email address: a localpart, an @ sign, and a domain. The "o" macro is the domain part of the "s". They remain the same during a recursive "include" or "redirect" subquery.

When the result of macro expansion is used in a domain name query, if the expanded domain name exceeds 255 characters (the maximum length of a domain name), the left side is truncated to fit, by removing successive subdomains until the total length falls below 255 characters.

Uppercased macros are URL escaped.

URL encoding is described in RFC2396.

7.2 Expansion Examples

```
The <responsible-sender> is strong-bad@email.example.com.
The IPv4 SMTP client IP is 192.0.2.3.
The IPv6 SMTP client IP is 5f05:2000:80ad:5800::1.
The PTR domain name of the client IP is mx.example.org.
```

```
macro
                 expansion
-----
\label{eq:strong-bad@email.example.com} \$\{\mathtt{s}\} \qquad \text{strong-bad@email.example.com}
%{o}
                email.example.com
%{d}
                email.example.com
             email.example.com
email.example.com
%{d4}
%{d3}
%{d2}
                example.com
%{d1}
                           com
               mx.example.org
example.org
%{p}
%{p2}
%{dr}
               com.example.email
                example.email
%{d2r}
                   strong-bad
%{1}
%{1-}
                     strong.bad
%{lr}
                     strong-bad
%{lr-}
                     bad.strong
%{l1r-}
                         strong
macro-string
                                    expansion
_____
%{lr-}.lp.%{ir}.%{v}. spf.%{d2}
                 bad.strong.lp.3.2.0.192.in-addr. spf.example.com
%{ir}.%{v}.%{l1r-}.lp. spf.%{d2}
                     3.2.0.192.in-addr.strong.lp. spf.example.com
%{p2}.trusted-domains.example.net
                         example.org.trusted-domains.example.net
```

IPv6:

%{ir}.example.org

1.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.8. 5.d.a.0.8.0.0.0.2.5.0.f.5.example.org

8. Conformance Definitions

The following sections define SPF conformance applied to various entities.

8.1 Introduction

In an SMTP+SPF transaction, there are three primary entites:

- the envelope sender domain
- the sending host (the SMTP client)
- the SMTP receiver

The conformance status of each entity has implications for the transaction as a whole.

8.2 Conformance with regard to sender domains

For a domain to be considered SPF-conformant, its authoritative DNS servers are REQUIRED to publish an SPF record for that domain. Domains which do not publish SPF data SHALL NOT be deemed SPF-conformant.

If foo.example.com claims SPF compliance, it must have a record of the form:

```
foo.example.com IN TXT "v=spf1 ..."
```

A domain also SHOULD publish policy records for each of its designated servers to accommodate the MAIL FROM: <>.

8.3 Conformance with regard to sending e-mail systems

To be considered SPF-conformant, an SMTP sending host MUST resolve a "pass" for all the SPF-conformant domains for which it sends mail.

When an SMTP host sends a message delivery status notification message, it MAY use the null envelope sender:

MAIL FROM: <>

The sender host's HELO/EHLO command string MUST include the Fully Qualified Domain Name of the sender host, and an SPF record MUST exist for that FQDN for the host to be considered SPF-conformant.

For example: in a transaction with

HELO mx01.example.com
MAIL FROM: <>

an SMTP+SPF receiver will perform an SPF query of the form
 mx01.example.com TXT

and expect a result such as
 "v=spf1 ptr:example.com -all"
or
 "v=spf1 a -all"

8.4 Conformance with regard to receiving e-mail systems

To describe itself as SPF-conformant, an SMTP receiver MUST perform SPF tests.

SPF tests need not be performed while an SMTP transaction is ongoing: if the MDA performs the test, that is sufficient. A server need not reject a message; but if it does not, it SHOULD add a Received-SPF header. If a server rejects a message, it SHOULD include any <explanation> provided by the SPF publisher.

If a receiver system has a choice of testing the envelope sender (as recorded in the Return-Path header) versus the message headers (as recorded in Sender or From), the envelope is recommended.

Receiver systems SHOULD exclude special recipients such as postmaster@ and abuse@ from SPF processing. See RFC2142.

SPF is only one component in a policy engine. An SPF-conformant SMTP receiver is NOT REQUIRED to perform SPF tests on messages whose dispositions have already been decided on the basis of other policy.

Example 1: if an SMTP receiver requires that sender domains must possess MX or A records, and rejects transactions where they do not, then SPF tests are moot.

Example 2: if an SMTP receiver expects messages from a trusted client, such as a secondary MX for its own domain, then SPF tests are not needed.

Example 3: if an SMTP receiver is considering a transaction which does not yield a fully-qualified domain name in either the MAIL FROM sender or the HELO command, SPF tests are not appropriate, and the disposition of the message should be decided on the basis of other policy.

8.5 Conformance with regard to a particular SMTP transaction

An email message during delivery is conformant if SPF evaluation results in a "pass", "neutral", or "softfail".

8.6 Conformance with regard to an email-sending user

An email-sending user is conformant if all of her outbound mail is sent through a designated mailer for her sender domain.

8.7 Rejection of non-SPF conformant email

Mail from a domain SHOULD NOT be automatically treated as suspect just because the domain doesn't publish SPF records.

8.8 Rejection of SPF conformant email

An SPF email system MAY choose to reject or discard email on the basis of local policy. SPF is one component in an overall email-policy engine. SPF merely makes it possible for policy decisions to be made with confidence at the sender-domain level. The actual policy decisions are outside the scope of this document.

8.9 Recommendations

If a domain contains subdomains or hostnames that have A or MX records, those subdomains and hostnames SHOULD publish SPF records as well. If they do not, they remain at risk of forgery.

Domain names used in a legitimate envelope sender SHOULD possess MX records.

This proposal recommends the deprecation of the legacy "implicit MX" rule defined in RFC2821 section 5. Domains that want to receive mail SHOULD always define explicit MX records.

- 8.10 Changes to Existing Semantics
- 8.10.1 The Return-Path is now also a Responsible Sender

From RFC2821:

The <reverse-path> portion of the first or only argument contains the source mailbox (between "<" and ">" brackets), which can be used to report errors (see section 4.2 for a discussion of error reporting).

When SPF is used to authenticate the return-path, the domain in the source mailbox is now also the party responsible for sending the message.

This semantic change is justified by the desire to control joe-jobs. Joe jobs are a distributed denial of service attack against a given address executed by forging messages using a victim sender address and sending them to thousands of recipients. Inevitably, some of those delivery attempts fail, and bounce messages are generated to the victim sender address. These unwanted bounce messages can end up crippling the victim mailbox. SPF gives these potential victims a way to protect their mailboxes. With SPF, senders can now control the use of their address in the return-path.

9. Applicability Statement

This section discusses deployment considerations.

9.1 Adoption by disreputable domains

It is trivial for a domain to publish "+all" to allow all. A disreputable domain could then send unwanted email from any host. This is a common objection to SPF.

SPF provides a value-neutral framework for sender authentication and accreditation. Senders use that framework to make assertions regarding the quality of messages.

It is the responsibility of the SMTP receiver to evaluate those assertions. First the SMTP receiver discards obvious forgeries. Then it evaluates the remaining messages according to the reputation of the sender and any accompanying accreditation assertions.

Whether a given domain is reputable or not is a decision that belongs to an SMTP receiver. Policy decisions regarding particular messages are outside the scope of SPF.

9.2 Limitations

In an SPF-conformant environment, envelope sender forgery is limited to the local domain. Unless per-user macros are used, it is possible for one user to forge another user's address within that domain. However, the organization responsible for the domain presumably has the wherewithal to follow an audit trail.

9.3 Phased Rollout

At an adopting domain, adoption of SPF could occur in phases. A domain might move through these phases by changing its default response type from "neutral" to "softfail" to "fail".

The phases are characterized by different levels of awareness among the domain's userbase, and different levels of strictness on the part of SPF-conformant receivers.

When a sufficient majority of its users are SPF-conformant, a domain SHOULD change its default to "fail". That sends a signal to SPF-conformant mail receivers that non-conformant messages SHOULD be rejected. Setting "-all" protects the users from account fraud and joe-jobbing.

Messages that explicitly fail SPF with a "fail" SHOULD be rejected.

9.4 Verbatim Forwarding

SPF changes the model of email from a store-and-forward system to an end-to-end ystem. Verbatim email forwarding suffers accordingly.

In verbatim forwarding, an intermediate forwarding host rewrites the envelope recipient, but leaves the envelope sender untouched.

Forwarding hosts will need to rewrite the envelope sender as well to maintain SPF conformance.

The rewritten envelope sender can take a variety of forms, depending on whether bounces should be forwarded back to the original sender. If bounces are unimportant, the rewritten sender could be as simple as <nobody@example.com>. If bounces are important, forwarders can use envelope encapsulation with a MAC nonce, or rewrite the address into a database-backed cookie.

A DNS whitelist (or its domain-name equivalent, a Right-Hand-Side Whitelist (RHSWL)) of well-known trusted forwarders can help ease the transition.

9.5 Per-user exemptions

The "exists" mechanism can be used to exempt certain users from the SPF requirements that apply to the rest of the domain.

10. Security Considerations

SPF depends on DNS. A malicious attacker could poison a target's DNS cache with spoofed DNS data.

SPF assumes the client IP address is true. A malicious attacker could spoof TCP sequences to make mail appear to come from a designated host. If this happens, an "echo" command could be introduced to ESMTP to require a simple challenge/response confirmation.

SPF clients need to limit themselves to 20 includes and redirects to avoid attacks.

11. IANA Considerations

The registry of standard mechanism and modifier names may be turned over to IANA for management.

12. Contributors and Acknowledgements

SPF owes a debt of parentage to RMX (by Hadmut Danisch in 2003) and to DMP (by Gordon Fecyk in 2003). It traces its ancestry farther back through "Repudiating Mail-From" by Paul Vixie in 2002 to a suggestion by Jim Miller in 1998.

Philip Gladstone contributed macros to the specification, multiplying the expressiveness of the language and making per-user and per-IP lookups possible.

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```
The folks on the SPAM-L mailing list
The folks on the ASRG mailing list and in the IETF.
The folks on the spf-discuss mailing list.
The folks on the mailing list that shall not be named.
The folks on #perl.
```

Appendix A. Collected ABNF for SPF records

```
See RFC2234 for ABNF notation.
SPF-record = version *( 1*SP ( [prefix] mechanism | modifier ) )
version = 'v=spf' 1*digit
          = ( "+" / "-" / "?" / "~" )
prefix
modifier = redirect / explanation / unknown-modifier
redirect = 'redirect' '=' domain-spec
explanation = 'exp' '=' domain-spec
unknown-modifier = 1*ALPHA '=' *VCHAR
mechanism = ( all / include
             / A / MX / PTR / IP4 / IP6 / exists
              / extension )
all
           = 'all'
include = 'include' ':' domain-spec
           PTR
IP4
IP6
exists = 'exists' ':' macro-string
extension = *alphanum [ ':' *VCHAR ]
ip4-network = as in RFC2373 [15], e.g. 192.0.2.0
ip6-network = as in RFC2373 [15], e.g. 12AB:0:0:CD30
domain-spec = domain-name / macro-string
domain-name = domain-part *( '.' domain-part ) [ '.' ]
domain-part = as defined in RFC1034
dual-cidr-length = [ ip4-cidr-length ] [ '/' ip6-cidr-length ]
ip4-cidr-length = [ '/' 1*DIGIT ]
ip6-cidr-length = [ '/' 1*DIGIT ]
macro-string = *( macro-char / VCHAR )
/ 1881 / 18_1 / 18-1
alphanum = alpha | digit
           = '. | '-' | '+' | ',' | '|' | ' | '='
delim
```

```
Appendix B. Extended Examples
```

```
These examples are based on the following DNS set up:
```

```
; A domain with two mail servers, two hosts
; and two servers at the domain name
```

```
$ORIGIN example.com.
```

```
MX 10 mail-a
          MX 20 mail-b
          A 192.168.1.10
          Α
            192.168.1.11
         A 192.168.1.65
amy
bob
         A 192.168.1.66
        A 192.168.1.129
mail-a
mail-b A 192.168.1.130
         CNAME example.com.
WWW
```

; The reverse IP for that domain

```
$ORIGIN 1.168.192.in-addr.arpa.
```

```
PTR example.com.
```

; A related domain

```
$ORIGIN example.org
```

```
MX 10 mail-c
mail-c
          A
              192.168.2.140
```

; The reverse IP for that domain

```
$ORIGIN 2.168.192.in-addr.arpa.
140
         PTR mail-c.example.org.
```

```
; A rogue reverse IP domain that claims to be
```

; something it's not

```
$ORIGIN 0.0.10.in-addr.arpa.
```

PTR bob.example.com.

PTR example.com. 11

⁶⁵ PTR amy.example.com.

¹³⁰ PTR mail-b.example.com.

Appendix B.1 Simple Example

"v=spf1 a -all"
-- sending hosts 192.168.1.10 and 192.168.1.11 pass

"v=spf1 a:example.org -all"
 -- no sending hosts pass since example.org has no A records

"v=spf1 mx -all"
-- sending hosts 192.168.1.129 and 192.168.1.130 pass

"v=spf1 mx:example.org -all"
 -- sending host 192.168.2.140 passes

"v=spf1 mx/24 mx:example.org/24 -all"
-- any sending host in 192.168.1.0/24 or 192.168.2.0/24 passes

"v=spf1 ptr -all"
-- sending host 192.168.1.65 passes

(reverse IP is valid and in example.com)
-- sending host 192.168.2.140 fails

"v=spf1 ip4:192.168.1.128/25 -all"
-- sending host 192.168.1.65 fails
-- sending host 192.168.1.129 passes

Appendix B.2 Multiple Domain Examples

These examples show the effect of related SPF records:

```
example.org: "v=spf1 include:example.com include:example.net -all"
```

This SPF record would be used if mail from example.org actually came through servers at example.com and example.net. Example.org's designated servers are the union of example.com and example.net's designated servers.

```
1.example.org: "v=spf1 redirect=example.org"
2.example.org: "v=spf1 redirect=example.org"
3.example.org: "v=spf1 redirect=example.org"
```

These SPF records allow a set of domains that all use the same mail system to make use of that mail system's SPF record. In this way, only the mail system's SPF record needs to updated when the mail setup changes. These domains' SPF records never have to change.

Appendix B.3 RBL Style Example

Imagine that, in addition to the domain records listed above, there are these:

```
      $Origin _spf.example.com.

      mary.mobile-users
      A 127.0.0.2

      fred.mobile-users
      A 127.0.0.2

      15.15.168.192.joel.remote-users
      A 127.0.0.2

      16.15.168.192.joel.remote-users
      A 127.0.0.2
```

Then consider these SPF records

This describes users at example.com who send mail from arbitrary servers, and users who send mail from a set of personal servers.

Normative References

[1] [RFC2396]

Informative References

(These references will be properly cited in a later version of the draft.)

- [1] [RFC1034]
- [2] [RFC1464]
- [3] [RFC2119]
- [4] [RFC2142]
- [5] [RFC2234] ABNF
- [6] [RFC2373]
- [7] [RFC2505]
- [8] [RFC2821]
- [9] [RFC2822]
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